

# PH253 Lecture 10: Photons, but more so

## Compton scattering

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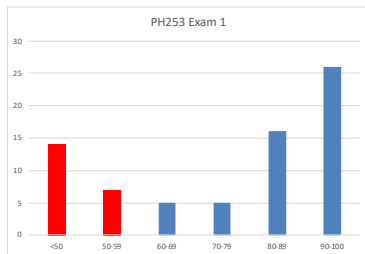
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The University of Alabama

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# Exam 1

- 1 Raw average: 71.4%
- 2 Average excluding results under 60% (21/73): 87.2%
- 3 Very bimodal distribution ...
- 4 Exams returned, solution out when makeups done.



# Outline

1 Compton Scattering

2 Problems



## Last time:

- 1 Light looks like a particle on large scales ( $\gg \lambda$ )
- 2 But it looks like a wave on small scales ( $\lesssim \lambda$ )
- 3 Photoelectric effect details supports this idea
- 4 Photons have momentum by virtue of energy, but no mass
- 5 Better evidence light = photons? Scattering.

Energy & Momentum for photons:

$$E = hf = \frac{hc}{\lambda} \qquad p = \frac{E}{c} = \frac{hf}{c} = \frac{h}{\lambda}$$



# Outline

1 Compton Scattering

2 Problems



# Compton scattering

- 1 if light = particles = photons ...
- 2 ... scatter the photons off of another particle, e.g.,  $e^-$
- 3 if photon=particle, specific angular dispersion, energy loss
- 4 Energy loss of photon = red shift = observable
- 5 classical (EM waves) - incident / scattered photons  $\sim$  same  $f$

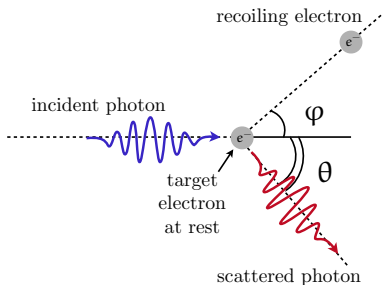


# Compton scattering

An incident photon of frequency  $f_i$ , energy  $E_i = hf_i$ , and momentum  $p_i = h/\lambda_i$  strikes an electron (mass  $m$ ) at rest.

The photon is scattered through an angle  $\theta$ , and the scattered photon has frequency  $f_f$ , energy  $E_f = hf_f$ , and momentum  $p_f = h/\lambda_f$ .

Electron recoils at angle  $\phi$  relative to the incident photon, acquires kinetic energy  $KE_e$  and momentum  $p_e$ .



# Compton scattering

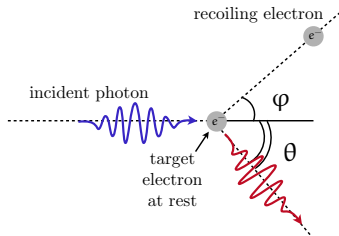
Conserve energy and momentum:

$$hf_i = hf_f + KE_e = hf_f + \sqrt{m^2c^4 + p_e^2c^2} - mc^2$$

Noted  $KE_{e^-}$  is total energy minus its rest energy  $mc^2$ .

Conservation of  $p$  in both directions:

$$p_i = p_e \cos \varphi + p_f \cos \theta \quad p_e \sin \varphi = p_f \sin \theta$$





# Compton scattering

Solution made simpler by defining dimensionless energy parameters.

Recognizes  $e^-$  rest energy  $mc^2$  is the key energy scale

$$\alpha_i = \frac{\text{incident photon energy}}{\text{electron rest energy}} = \frac{hf_i}{mc^2}$$
$$\alpha_f = \frac{\text{scattered photon energy}}{\text{electron rest energy}} = \frac{hf_f}{mc^2}$$
$$\epsilon = \frac{\text{electron kinetic energy}}{\text{electron rest energy}} = \frac{E_e}{mc^2}$$



# Compton scattering

Substitutions change our energy and momentum equations to:

$$\alpha_i = \alpha_f + \sqrt{\frac{p_e^2}{m^2 c^2} + 1} - 1$$

$$\alpha_i = \alpha_f \cos \theta + \left(\frac{p_e}{mc}\right) \cos \varphi$$

$$\alpha_f \sin \theta = \left(\frac{p_e}{mc}\right) \sin \varphi$$



# Compton scattering

Experiment gets incident and scattered photons' energy and angle

So eliminate the electron's momentum  $p_e$  and scattering angle  $\varphi$

Rearrange energy equation, square it, solve for  $p_e$ :

$$\alpha_i - \alpha_f + 1 = \sqrt{\frac{p_e^2}{m^2 c^2} + 1}$$

$$\frac{p_e^2}{m^2 c^2} = (\alpha_i - \alpha_f + 1)^2 - 1$$

$$p_e^2 = m^2 c^2 \left( \alpha_i^2 - 2\alpha_i \alpha_f + \alpha_f^2 + 2\alpha_i - 2\alpha_f \right)$$



# Compton scattering

$$p_e^2 = m^2 c^2 \left( (\alpha_i - \alpha_f)^2 + 2 (\alpha_i - \alpha_f) \right)$$

Now square and add the two momentum equations to eliminate  $\varphi$

$$\left( \frac{p_e}{mc} \right) \cos \varphi = \alpha_i - \alpha_f \cos \theta \quad \Longrightarrow \quad p_e^2 \cos^2 \varphi = m^2 c^2 (\alpha_i - \alpha_f \cos \theta)^2$$

$$\left( \frac{p_e}{mc} \right) \sin \varphi = \alpha_f \sin \theta \quad \Longrightarrow \quad p_e^2 \sin^2 \varphi = m^2 c^2 \alpha_f^2 \sin^2 \theta$$

$$p_e^2 = m^2 c^2 \left( \alpha_f^2 \sin^2 \theta + (\alpha_i - \alpha_f \cos \theta)^2 \right)$$

$$p_e^2 = m^2 c^2 \left( \alpha_f^2 \sin^2 \theta + \alpha_i^2 - 2\alpha_i \alpha_f \cos \theta + \alpha_f^2 \cos^2 \theta \right)$$



# Compton scattering

$$p_e^2 = m^2 c^2 \left( \alpha_f^2 + \alpha_i^2 - 2\alpha_i \alpha_f \cos \theta \right)$$

Comparing this with our previous equation for  $p_e^2$ :

$$p_e^2 = m^2 c^2 \left( \alpha_i^2 - 2\alpha_i \alpha_f + \alpha_f^2 + 2\alpha_i - 2\alpha_f \right)$$

$$\alpha_i^2 - 2\alpha_i \alpha_f + \alpha_f^2 + 2\alpha_i - 2\alpha_f = \alpha_f^2 + \alpha_i^2 - 2\alpha_i \alpha_f \cos \theta$$

$$-\alpha_i \alpha_f + \alpha_i - \alpha_f = -\alpha_i \alpha_f \cos \theta$$

$$\alpha_i - \alpha_f = \alpha_i \alpha_f (1 - \cos \theta) \quad \text{or} \quad \frac{1}{\alpha_f} - \frac{1}{\alpha_i} = 1 - \cos \theta$$



# Compton scattering

End result: substitute back for  $\alpha = hf/mc^2 = h/\lambda mc$ .

$$\frac{1}{\alpha_f} - \frac{1}{\alpha_i} = 1 - \cos \theta$$
$$\frac{\lambda_f mc}{h} - \frac{\lambda_i mc}{h} = 1 - \cos \theta$$
$$\lambda_f - \lambda_i = \Delta\lambda = \frac{h}{mc} (1 - \cos \theta)$$

This is the *Compton equation*.

$h/mc$  has units of length - the *Compton wavelength*

$$\lambda_c = h/mc \approx 2.42 \times 10^{-12} \text{ m.}$$

Scale at which quantum effects dominate



# Compton scattering

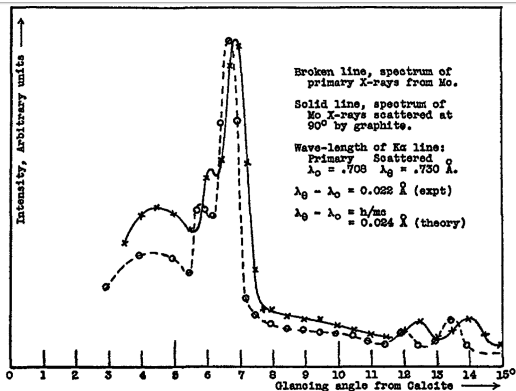


Fig. 4. Spectrum of molybdenum X-rays scattered by graphite, compared with the spectrum of the primary X-rays, showing an increase in wave-length on scattering.

Figure: Original data. Physical Review 21, 483-502 (1923)



# Compton scattering

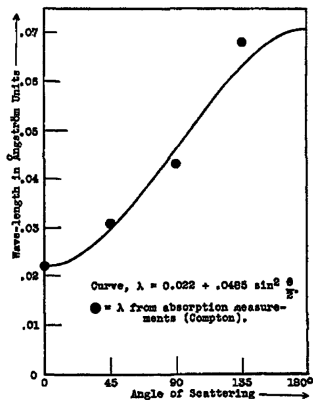


Figure: It works! Physical Review 21, 483-502 (1923)





# Electron kinetic energy

$e^-$  kinetic energy = difference between incident & scattered photons:

$$KE_e = hf_i - hf_f = \alpha_i mc^2 - \alpha_f mc^2 = (\alpha_i - \alpha_f) mc^2$$

Solving the Compton equation for  $\alpha_f$ , we have

$$\alpha_f = \frac{\alpha_i}{1 + \alpha_i (1 - \cos \theta)}$$

Combining these two equations

$$KE_e = (\alpha_i - \alpha_f) mc^2 = mc^2 \left( \alpha_i - \frac{\alpha_i}{1 + \alpha_i (1 - \cos \theta)} \right)$$
$$\epsilon = \frac{KE_e}{mc^2} = \frac{\alpha_i^2 (1 - \cos \theta)}{1 + \alpha_i (1 - \cos \theta)}$$



# Compton scattering

$$KE_e = (\alpha_i - \alpha_f) mc^2 = mc^2 \left( \alpha_i - \frac{\alpha_i}{1 + \alpha_i (1 - \cos \theta)} \right)$$
$$\epsilon = \frac{KE_e}{mc^2} = \frac{\alpha_i^2 (1 - \cos \theta)}{1 + \alpha_i (1 - \cos \theta)}$$

$e^-$  kinetic energy can only be a fraction of the incident photon's energy

There will *always* be some energy left over for a scattered photon.

Means that *a stationary, free electron cannot absorb a photon!*

Absorption can only occur if the electron is bound to, e.g., a nucleus which can take away a bit of the net momentum and energy.



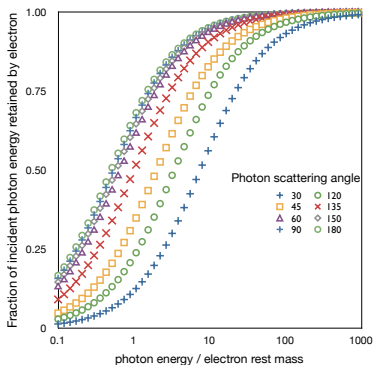
# Compton scattering

- 1 Shift in wavelength  $\Delta\lambda$  independent of photon energy
- 2 Shift in photon *energy* is not
- 3 Change in photon energy is equal to  $e^-$  KE
- 4 Strongly dependent on the incident photon energy!
- 5 Relevant energy scale set by the ratio of the incident photon energy to  $e^-$  rest energy
- 6 If ratio is large, the fractional shift in energy is large
- 7 When the incident photon energy  $\sim e^-$  rest energy, Compton scattering significant
- 8  $mc^2 \approx 511$  keV, hard x-rays or gamma rays



# Compton scattering

Fraction of the photon energy retained by the  $e^-$  vs. incident photon energy for various photon scattering angles.



# Compton scattering

Maximum electron energy or photon energy shift? Set  $d\epsilon/d\theta = 0$ :

$$\epsilon = \frac{KE_e}{mc^2} = \frac{\alpha_i^2 (1 - \cos \theta)}{1 + \alpha_i (1 - \cos \theta)}$$
$$\frac{d\epsilon}{d\theta} = \alpha_i^2 \left[ \frac{-\alpha_i \sin \theta (1 - \cos \theta)}{(1 + \alpha_i (1 - \cos \theta))^2} + \frac{\sin \theta}{1 + \alpha_i (1 - \cos \theta)} \right] = 0$$
$$0 = \sin \theta [-\alpha_i + \alpha_i \cos \theta + 1 + \alpha_i (1 - \cos \theta)]$$
$$0 = \sin \theta \quad \implies \quad \theta = \{0, \pi\}$$

$\theta = 0$  can be discarded, this corresponds to the photon going right through the electron

At  $\theta = \pi$ , backward scattering of photon, electron has max energy



# Compton scattering

Maximum energy of the electron at  $\theta = \pi$  (photon backscattered)

$$KE_{\max} = hf_i \left( \frac{2\alpha_i}{1 + 2\alpha_i} \right)$$
$$\epsilon = \alpha_i \left( \frac{2\alpha_i}{1 + 2\alpha_i} \right) = \frac{2\alpha_i^2}{1 + 2\alpha_i}$$

Max  $e^-$  KE at most a fraction of the incident photon energy -  
absorption cannot occur for free electrons



# Compton scattering

Compton wavelength sets fundamental limitation on measuring the position of a particle.

- Depends on the mass  $m$  of the particle.
- Can measure the position of a particle by bouncing light off it
- But! Need short wavelength for accuracy.
- That means higher  $p$  and  $E$  for the photon!
- (Which disturbs position ... uncertainty)
- If photon energy  $> mc^2$ , when it hits particle being measured there is enough energy to create a new particle of the same type!
- Meaning you still don't know where the original one is.
- Or if photon energy  $> 2mc^2$ , photon can decay into particle-antiparticle pair



# Compton scattering

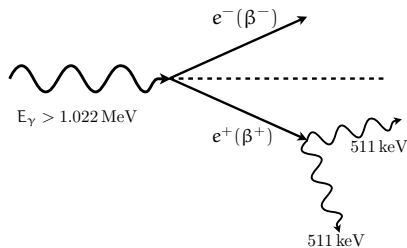


Figure: Pair production

A photon can “decay” into an electron and a positron (electron antiparticle). Try to measure electron with high energy photon? Now you have 3 particles.





# Outline

1 Compton Scattering

2 Problems



# Problem

Show that it is impossible for a photon striking a free electron to be absorbed and not scattered.

- 1 Choose frame where  $e^-$  is at rest (free choice)
- 2 Conserve  $E$  &  $p$ , show something impossible is implied
- 3 Before: photon of energy  $hf$  and momentum  $h/\lambda$
- 4 Before: electron with rest energy  $mc^2$
- 5 After:  $e^-$ ,  $E_{\text{tot}} = (\gamma - 1)mc^2 + mc^2 = \sqrt{p^2c^2 + m^2c^4}$  &  $p_e = \gamma mv$
- 6  $p$  conservation: absorbed photon's momentum transferred to  $e^-$
- 7  $e^-$  must continue along the same line that the incident photon traveled
- 8  $\implies$  1D problem



# Problem

Enforcing conservation of energy and momentum:

$$hf + mc^2 = \sqrt{p^2c^2 + m^2c^4} \quad \text{energy conservation variant 1}$$

$$hf + mc^2 = \gamma mc^2 \quad \text{energy conservation variant 2}$$

$$\frac{h}{\lambda} = p_e = \gamma mv \quad \text{momentum conservation}$$

Use conservation of  $p$  to put  $e^-$  momentum in terms of the photon frequency:

$$\frac{h}{\lambda} = p_e \quad \Longrightarrow \quad \frac{hc}{\lambda} = hf = p_e c$$



## Problem

Enforcing conservation of energy and momentum:

Use this in energy conservation equation to eliminate  $p_e$ , square both sides, and collect terms.

$$(hf + mc^2)^2 = \left( \sqrt{p^2c^2 + m^2c^4} \right)^2 = \left( \sqrt{h^2f^2 + m^2c^4} \right)^2$$

$$h^2f^2 + 2hfmc^2 + m^2c^4 = h^2f^2 + m^2c^4$$

$$2hfmc^2 = 0 \quad \implies \quad f = 0 \quad \implies \quad p_e = v = 0$$

The only way a photon can be absorbed by the stationary electron is if its frequency is zero, *i.e., if there is no photon to begin with!*



# Problem

Use 2nd consv.  $E$  equation + consv.  $p$  to get same conclusion:

$$hf = \frac{hc}{\lambda} = \gamma mc^2 \quad \text{energy conv. variant 2}$$

$$\frac{h}{\lambda} = \gamma mv \quad \text{momentum consv.}$$

$$\implies \gamma mvc = \frac{hc}{\lambda} = \gamma mc^2 \quad \implies \quad \gamma v = \gamma c$$

Implies  $v = c$  for  $e^-$ , which is not possible (requires infinite energy)  
Or, requires  $\gamma = 0$ , which is also not possible



## Problem 2

A proton is uniformly accelerated in a van de Graaff accelerator through a potential difference of 700 kV. The length of the linear accelerating region is 3 m. **(a)** Compute the ratio of the radiated energy to the final kinetic energy. **(b)** Show that for a particle moving in a linear accelerator the rate of radiation of energy is

$$\frac{dU}{dt} = \frac{q^2}{6\pi\epsilon_0 m^2 c^3} \left( \frac{dK}{dx} \right)^2 \quad (1)$$

where  $K$  is the kinetic energy.



## Problem 2

The distance covered must be  $s = \frac{1}{2}at^2$  and the velocity  $v = at$ , which we can combine to give  $s = \frac{1}{2}vt$ .

That implies  $t = 2s/v$  and  $a = v/t = v^2/2s$

Plug in to energy equation:

$$U_{\text{rad}} = P_{\text{rad}}\Delta t = \frac{q^2a^2}{6\pi\epsilon_0c^3}\Delta t = \frac{q^2v^3}{12\pi\epsilon_0c^3s}$$



## Problem 2

Now use conservation of energy: potential energy change due to  $\Delta V$  same as kinetic energy change.

$$K = \frac{1}{2}mv^2 = q\Delta V \quad \implies \quad v = \sqrt{\frac{2q\Delta V}{m}}$$

Put it together:

$$\frac{U_{\text{rad}}}{K} = \frac{1}{\frac{1}{2}mv^2} \frac{q^3 v^3}{12\pi\epsilon_0 c^3 s} = \frac{q^3 v}{6\pi\epsilon_0 mc^3 s} = \frac{q^3}{6\pi\epsilon_0 mc^3 s} \sqrt{\frac{2q\Delta V}{m}}$$

$$\frac{U_{\text{rad}}}{K} = \frac{q^3}{6\pi\epsilon_0 c^3 s} \sqrt{\frac{2q\Delta V}{m^3}} \approx 1.31 \times 10^{-20}$$





## Problem 2

Radiation losses in linear accelerators are utterly negligible.

The rate of energy loss can be related to the kinetic energy gained per unit distance ( $dK/dx$ ):

$$K = \frac{1}{2}mv^2$$
$$\frac{dK}{dx} = mv \frac{dv}{dx} = mv \frac{dv}{dt} \frac{dt}{dx} = ma$$

Last step, chain rule and  $dt/dx = 1/v$ . With  $a = \frac{1}{m} \frac{dK}{dx}$ , plug into power equation

$$\frac{dU}{dt} = P_{\text{rad}} = \frac{q^2 a^2}{6\pi\epsilon_0 m^2 c^3} = \frac{q^2}{6\pi\epsilon_0 m^2 c^3} \left( \frac{dK}{dx} \right)^2$$

